

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

ALLSTATE INSURANCE COMPANY and)	
ALLSTATE LIFE INSURANCE COMPANY,)	No. 17-CV-05826
)	
Plaintiffs,)	Hon. Steven C. Seeger
)	
v.)	
)	
AMERIPRISE FINANCIAL SERVICES, INC.,)	
)	
Defendant.)	

**DEFENDANT'S APPENDIX OF EXHIBITS IN OPPOSITION TO
PLAINTIFFS' MOTION FOR PARTIAL SUMMARY JUDGMENT**

John T. Sullivan, an attorney for Defendant Ameriprise Financial Services, Inc.¹ ("Ameriprise Financial"), hereby certifies that the following documents represent true and correct copies of deposition testimony and exhibits, declarations, and written discovery submitted in the above-captioned case:

- Exhibit 1: Transcript of the Deposition of Mitchell Doren taken March 14, 2018.
- Exhibit 2: [Under Seal] Transcript of the Deposition of Heather Dougherty taken October 28, 2020.
- Exhibit 3: [Under Seal] Executive Summary for Jeffrey Stillwell, produced in this litigation at AFSI00010082-90.
- Exhibit 4: Joint Motion for Dismissal of Claims and to Enter Briefing Schedule on Plaintiff's Motion for Fees and Costs (filed March 9, 2021 in *Allstate Ins. Co. v. Stillwell*, 3:15-cv-08251(D. NJ)) at Dckt. 143.
- Exhibit 5: Order of Dismissal of Claims and to Enter Briefing Schedule on Plaintiff's Motion for Fees and Costs (filed March 10, 2021 in *Allstate Ins. Co. v. Stillwell*, 3:15-cv-08251(D. NJ)) at Dckt. 144.
- Exhibit 6: Transcript of the Deposition of Scott Taubman taken June 14, 2018 in the Taubman/Larsen matter, Case No. 17-cv-00996.

¹ Ameriprise Financial Services, Inc. is now known as Ameriprise Financial Services, LLC.

- Exhibit 7: [Under Seal] Transcript of the Deposition of Theresa Francy taken February 1, 2017 in the Stillwell matter, Case No. 15-cv-08251.
- Exhibit 8: EAR Guide tab from Scott Taubman Business Tracker spreadsheet, produced in this litigation at AFSI00034742.
- Exhibit 9: Supplemental Declaration of Jeffrey Stillwell (filed April 10, 2019 in *Allstate Ins. Co. v. Stillwell*, 3:15-cv-08251(D. NJ)) at Dckt. 105-1.
- Exhibit 10: [Under Seal] Pre-Affiliation checklist for applicant Matt Fiamengo, produced by Plaintiffs in this litigation at AllstateAFS260342-44.
- Exhibit 11: [Under Seal] Paperwork for EFS candidate Troy Trahan, produced by Plaintiffs in this litigation at AllstateAFS260194-212.

Dated: March 18, 2021

DORSEY & WHITNEY LLP

By /s/ John T. Sullivan
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**Attorneys for Defendant
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CERTIFICATE OF SERVICE

The undersigned, an attorney, certifies that on March 18, 2021, he caused a true and correct copy of the **Defendant's Appendix of Exhibits in Opposition to Plaintiffs' Motion for Partial Summary Judgment** to be filed electronically with the Clerk of Court using the CM/ECF system and to be served upon all parties via the Court's CM/ECF system.

/s/ John T. Sullivan

John T. Sullivan